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A/Re

Please type a plus sign (+) inside this box → ☐

PTO/SB/50 (4/98)

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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Attorney Docket No.	RRE-P-00-001
First Named Inventor	NOVOSEL, ET AL.
Original Patent Number	5,855,004
Original Patent Issue Date (Month/Day/Year)	Dec. 29, 1998
Express Mail Label No.	EH754987507US

APPLICATION FOR REISSUE OF: ☐ Utility Patent ☐ Design Patent ☐ Plant Patent  
(check applicable box)

### APPLICATION ELEMENTS


- ☒ Fee Transmittal Form (PTO/SB/56)  
(Submit an original, and a duplicate for fee processing)
- ☒ Specification and Claims (amended, if appropriate)
- ☒ Drawing(s) (proposed amendments, if appropriate)
- ☒ Reissue Oath / Declaration (original or copy)  
(37 C.F.R. § 1.175)(PTO/SB/51 or 52)
- Original U.S. Patent  
☒ Offer to Surrender Original Patent (37 C.F.R. § 1.178)  
(PTO/SB/53 or PTO/SB/54)  
or  
☐ Ribboned Original Patent Grant  
☐ Affidavit / Declaration of Loss (PTO/SB/55)
- Original U.S. Patent currently assigned?  
☒ Yes ☐ No  
(If Yes, check applicable box(es))  
☒ Written Consent of all Assignees (PTO/SB/53 or 54)  
☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney

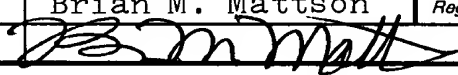
### ACCOMPANYING APPLICATION PARTS

- ☐ Foreign Priority Claim (35 U.S.C. 119)  
(if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ English Translation of Reissue Oath/Declaration  
(if applicable)
- ☐ Statement(s) ☐ Statement filed in prior application,  
Status still proper and desired  
(PTO/SB/09-12)
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Other: .....

\* NOTE FOR ITEMS 1 & 10: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

### 14. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	 (Insert Customer Number or Bar Code Label here)	or	<input type="checkbox"/> Correspondence address below
Name	PATENT TRADEMARK OFFICE		
Address			
City	State	Zip Code	
Country	Telephone	Fax	

NAME (Print/Type)	Brian M. Mattson	Registration No. (Attorney/Agent)	35,018
Signature		Date	6/9/00

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REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional) RRE-P-00-001		
Claims as Filed - Part 1								
Claims in Patent	For	Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
				Rate	Fee	Rate	Fee	
(A) 20	Total Claims (37 CFR 1.16(j)) Independent Claims (37 CFR 1.16(i))	(B) 50	***30	x \$ 9	270	or	x \$ =	
(C) 1		(D) 2	1	x \$ 39	39		x \$ =	
Basic Fee (37 CFR 1.16(h))					\$ 345		\$	
Total Filing Fee					\$ 654	OR	\$	
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	=	x \$ =		x \$ =	
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$ =	or	x \$ =	
Total Additional Fee					\$	OR	\$	

\* If the entry in (D) is less than the entry in (C), Write "0" in column 3.  
 \*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.  
 \*\*\* After any cancellation of claims  
 \*\*\*\* If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).  
 \*\*\*\*\* "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

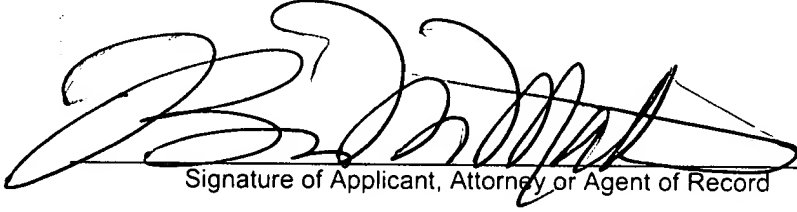
☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0595.  
A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$ 654.00 to cover the filing / additional fee is enclosed.

6-9-00

Date



Signature of Applicant, Attorney or Agent of Record

Brian M. Mattson, Reg. No. 35,018

Typed or printed name

10564 U.S. PTD  
 09/592461  
 06/09/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REISSUE SERIAL NO. :  
GROUP ART UNIT :  
EXAMINER :  
PATENT NO. : 5,855,004  
INVENTORS : Novosel et al.  
TITLE : "SOUND RECORDING AND REPRODUCTION  
SYSTEM FOR MODEL TRAIN USING  
INTEGRATED DIGITAL COMMAND  
CONTROL"

TRANSMITTAL LETTER FOR REISSUE APPLICATION  
BY EXPRESS MAIL #EH 754987507 US

Assistant Commissioner for Patents  
BOX REISSUE  
Washington, D.C. 20231

SIR:

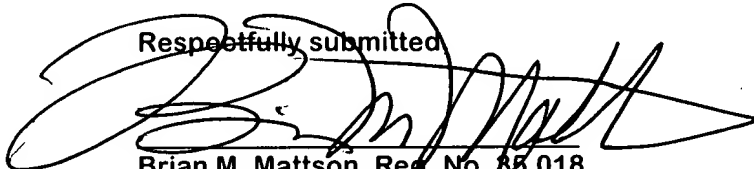
Submitted herewith are a Reissue Application including the Specification and Claims; Drawings (20 Sheets); Reissue Application Inventor's Declaration and Power of Attorney for inventor Novosel (including Attachment "A"); Reissue Application Inventor's Declaration and Power of Attorney for inventor Boles (including Attachment "A"); and Reissue Application Inventor's Declaration and Power of Attorney for inventor Fleszewski (including Attachment "A").

Also enclosed is a Consent by Assignee executed by Michael Novosel, an executed Offer to Surrender for each of the three inventors, and a return receipt postcard. A check for the requisite filing fee of \$654.00 is attached hereto. Please charge any additional fees that may be due and owing or credit any overpayment to Deposit Account No. 50-0595. *A duplicate copy of this sheet is enclosed for this purpose.*

Date:

June 9, 2000

Respectfully submitted



Brian M. Mattson, Reg. No. 88,018  
Patents+TMS  
A Professional Corporation  
1914 North Milwaukee Avenue  
Chicago, IL 60647  
Tel: 773/772-6009  
Attorney for Applicant

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Fax: 773/772-3210

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**EXPRESS MAIL LABEL NUMBER: EH 754987507 US**

**DATE OF DEPOSIT: JUNE 9, 2000**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Asst. Commissioner for Patents  
BOX REISSUE  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

**CONTENTS:**

**Our Case No.: RRE-P-00-001**

**Reissue Patent Application for Novosel et al.**

**Entitled: "SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL TRAIN USING INTEGRATED DIGITAL COMMAND CONTROL"**

**Transmittal Form in duplicate transmitting:**

- 1) Specification and Claims for U.S. Patent No. 5,855,004
- 2) Drawings (20 sheets)
- 3) Reissue Declaration and Power of Attorney for inventor Novosel
- 4) Reissue Declaration and Power of Attorney for inventor Boles
- 5) Reissue Declaration and Power of Attorney for inventor Fleszewski
- 6) Offer to Surrender Original Patent (One for each of three inventors)
- 7) Consent by Assignee
- 8) Check in the amount \$654.00
- 9) Return Receipt Postcard

  
Signature of person mailing documents and fees

6/9/00

# REISSUE APPLICATION BY THE INVENTOR, OFFER TO SURRENDER PATENT

Docket Number (Optional)  
RRE-P-00-001

This is part of the application for a reissue patent based on the original patent identified below.

Name of Patentee(s)

NOVOSEL ET AL.

Patent Number

5,855,004

Date Patent Issued

Dec. 29, 1998

Title of Invention SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL  
TRAIN USING INTEGRATED DIGITAL COMMAND CONTROL

I am the inventor of the original patent.

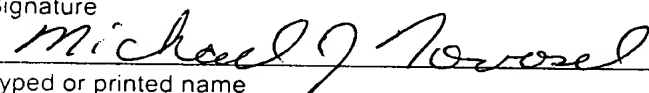
I offer to surrender the original patent.

1. ☒ Filed herein is a certificate under 37 CFR 3.73(b).
2. ☐ Ownership of the patent is in the inventor(s), and no assignment of the patent has been made.

One of boxes 1 or 2 above must be checked.

The written consent of all assignees owning an undivided interest in the original patent is included in this application for reissue.

Signature



Date

5-1-2000

Typed or printed name

MICHAEL J. NOVOSEL

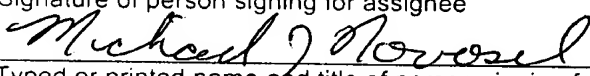
The assignee owning an undivided interest in said original patent is REAL RAIL EFFECTS, INC. and the assignee consents to the accompanying application for reissue.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.

Name of assignee

REAL RAIL EFFECTS, INC.

Signature of person signing for assignee



Date

5-1-2000

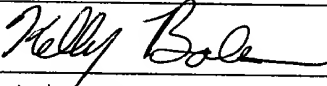
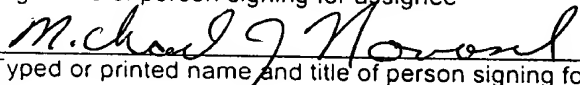
Typed or printed name and title of person signing for assignee

Michael J. Novosel

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REISSUE APPLICATION BY THE INVENTOR, OFFER TO SURRENDER PATENT		Docket Number (Optional) RRE-P-00-001
<p>This is part of the application for a reissue patent based on the original patent identified below.</p>		
Name of Patentee(s) NOVOSEL ET AL.		
Patent Number 5,855,004	Date Patent Issued Dec. 29, 1998	
Title of Invention SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL TRAIN USING INTEGRATED DIGITAL COMMAND CONTROL		
<p>I am the inventor of the original patent.</p> <p>I offer to surrender the original patent.</p> <p>1. <input checked="" type="checkbox"/> Filed herein is a certificate under 37 CFR 3.73(b).</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent has been made.</p> <p>One of boxes 1 or 2 above must be checked.</p> <p>The written consent of all assignees owning an undivided interest in the original patent is included in this application for reissue.</p>		
Signature 	Date 5-7-00	
Typed or printed name KELLY BOLES		
The assignee owning an undivided interest in said original patent is <u>REAL RAIL EFFECTS, INC.</u> and the assignee consents to the accompanying application for reissue.		
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.		
Name of assignee REAL RAIL EFFECTS, INC.		
Signature of person signing for assignee 	Date 5-1-2000	
Typed or printed name and title of person signing for assignee Michael J. Novosel		

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.